

## The definition of the curve

### § 0. Recap of def<sup>n</sup>s + notation from earlier talks

•)  $E/\mathbb{Q}_p$  finite,  $\mathcal{O}_E$  = ring of integers,  $\pi \in \mathcal{O}_E$  uniformiser  
 $\mathcal{O}_E/(\pi) \cong \mathbb{F}_q$  ( $q = p^f$  some  $f \geq 1$ )

•) We fix throughout a complete, algebraically closed non-archimedean (NA) field ext<sup>n</sup>  $F$  of  $\mathbb{F}_q$ .

We denote by  $v_F: F^* \rightarrow \mathbb{R}$  its valuation,  
 $|\cdot|_F = q^{-v_F(\cdot)}$  abs. value,  $\mathcal{O}_F = \{x \in F \mid v_F(x) \geq 0\}$   
valuation ring and  $\mathfrak{m}_F = \{x \in F \mid v_F(x) > 0\}$  max<sup>l</sup> ideal

We also fix  $\varpi \in \mathcal{O}_F$  a "pseudo-uniformiser",  
i.e. s.t.  $0 < |\varpi|_F < 1$

e.g.  $F = \widehat{\mathbb{F}_q((t))}$  (i.e. completion of alg. closure)  
with  $\varpi = t$ .

•)  $A_{\text{inf}} = W_{\mathcal{O}_E}(\mathcal{O}_F) = \left\{ \sum_{n \geq 0} [x_n] \pi^n \mid x_n \in \mathcal{O}_F \forall n \geq 0 \right\}$

•)  $|Y| = \left\{ \mathfrak{J} \subseteq A_{\text{inf}} \text{ ideal} \mid \begin{array}{l} (A_{\text{inf}}, \mathfrak{J}) \text{ is a perfect prism,} \\ \mathfrak{J} \neq (\pi) \end{array} \right\}$

For each  $y \in |Y|$ , we denote by  $\mathfrak{p}_y \subseteq A_{\text{inf}}$  the corresponding (prime) ideal and recall that  $\mathfrak{p}_y = (\xi_y)$  where  $\xi_y = \pi - [a]$  for some  $0 \neq a \in \mathfrak{m}_F$ .

•) We denote by  $C_y := (A_{\text{inf}}/\mathfrak{p}_y)[\frac{1}{\pi}]$   
 $= \text{Frac}(A_{\text{inf}}/\mathfrak{p}_y)$

for each  $y \in Y$ . For  $f \in A_{\text{inf}}$ , we then write  $f(y)$  for the image of  $f$  in  $C_y$  under the quotient map  $\theta_y: A_{\text{inf}} \twoheadrightarrow A_{\text{inf}}/p_y \subseteq C_y$ .

Slogan: Elements of  $A_{\text{inf}}$  should be thought of as functions on  $|Y|$ .

•) For each  $y \in |Y|$  there is a unique well-defined valuation  $v_y$  on  $C_y$  determined by  $v_y(\theta_y([x])) = v_F(x)$  for all  $x \in \mathcal{O}_F$ . Under different notation, this  $v_y$  was constructed in Dacan's talk to prove that  $C_y$  is a NA field ext<sup>n</sup> of  $E$ .

•) Last time: There is a "metric"  $d$  on  $|Y|$  defined by  $d(y_1, y_2) = v_{y_2}(\theta_{y_2}(\xi_{y_1}))$ , and we also set  $d(y, 0) = v_y(\theta_y(\pi))$ . Unpacking the def<sup>n</sup>s, and writing  $\xi_{y_i} = \pi - [a_i]$  ( $i=1,2$ ),  $\xi_y = \pi - [a]$ , then  $d(y_1, y_2) = v_{y_2}(\theta_{y_2}([a_1] - [a_2]))$  and  $d(y, 0) = v_F(a)$ .

Idea:  $d$  was not actually a metric. Instead the map  $(y_1, y_2) \mapsto q^{-d(y_1, y_2)}$  is an actual (ultra)metric (Some authors do not differentiate between norms/absolute values and valuations, and here it is similar:  $d$  is a bit like a valuation on  $|Y|$ )

Similarly, the "distance to 0" should

be  $q^{-d(y,0)} = q^{-v_F(a)} = |a|_F \in (0,1)$

Updated slogan: The set  $|Y|$  should be thought of as a punctured unit disk  $D^* = \{0 < |x| < 1\}$  over some NA field  $K$

•)  $A_{\text{inf}}$  should be thought of as analogous to  $\mathcal{O}_K[[T]]$ , which embeds into the ring of bounded holomorphic functions on  $D^*$ , with  $\pi$  playing the role of  $T$  (i.e. it should be thought of as a variable!)

## §1. Heuristics for the construction

Recall: There is a bijection

$$\text{Ult}_{\text{char } 0}(\mathcal{O}_F) / \sim \xrightarrow{1:1} |Y|$$

$$(A, \iota: A^b \xrightarrow{\sim} \mathcal{O}_F) \mapsto \text{Ker} \left( W_{\mathcal{O}_E}(\mathcal{O}_F) \xrightarrow{W_E(i^*)} W_{\mathcal{O}_E}(A^b) \xrightarrow{\sigma} A \right)$$

However: The LHS has a bit of redundancy because if  $(A, \iota) \in \text{Ult}(\mathcal{O}_F)$  then so is  $(A, \varphi^j \circ \iota)$  for any  $j \in \mathbb{Z}$  (and  $\varphi \equiv \text{Frobenius on } \mathcal{O}_F$ )

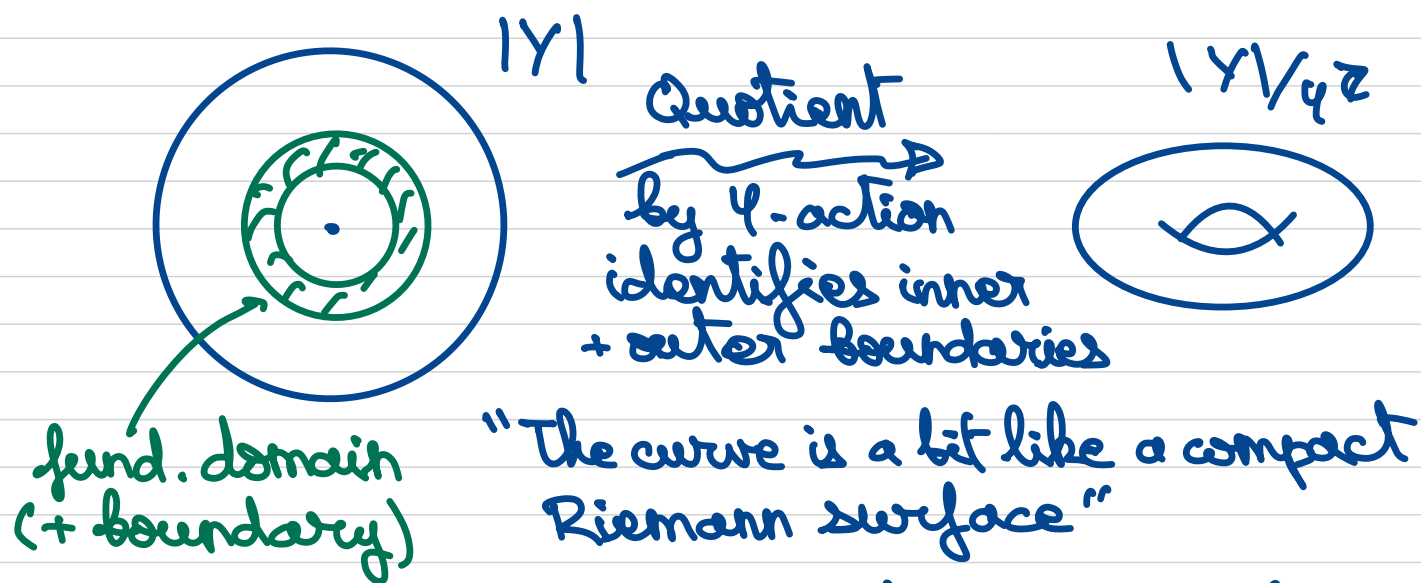
This translates under above action to the usual Frobenius action on (ideals of)  $A_{\text{inf}}$ , i.e. sending  $\sum_n [x_n] \pi^n$  to  $\sum_n [x_n^q] \pi^n$ .

Write  $|Y|/\varphi\mathbb{Z}$  for the quotient by above Frobenius action.

Goal: Construct a scheme  $X$  whose closed points are in natural bijection with  $|Y|/\varphi_{\mathbb{Z}}$

Heuristic picture: Note that for  $y \in |Y|$  we have  $\varphi(\xi_y) = \pi - [a^q]$  and so  $d(\varphi(y), 0) = v_F(a^q) = q \cdot v_F(a)$

So in our distance  $q^{-d(y,0)}$ , we see that  $\varphi$  "shifts" elements of  $D^*$  by raising the radius to the power  $q$ . A fundamental domain should therefore look like an annulus



We also would like our object to look like a  $\mathbb{P}^1$  in a suitable sense. For this, we will:

- ) Construct an analogue  $B$  of "holomorphic functions on  $D^*$ " with Frobenius action.
- ) Take  $\text{Proj} \left( \bigoplus_{d \in \mathbb{Z}} B^{q=\pi^d} \right)$  as our scheme, where  $B^{q=\pi^d} = \{ f \in B \mid \varphi(f) = \pi^d f \}$ .



## § 2. The rings $B_I$

Def<sup>n</sup> 1: We let

$$B^b = A \inf \left[ \frac{1}{\pi}, \frac{1}{[\omega]} \right]$$

$$= \left\{ \sum_{n \geq 0} [x_n] \pi^n \mid x_n \in F \forall n, \sup_n |x_n|_F < \infty \right\}$$

Here,  $[x_n] := [\omega]^{-d} [\omega^d x_n]$  for  $d \geq 0$  s.t.  $\omega^d x_n \in \mathcal{O}_F$

Analogy: To get all bounded holomorphic functions on  $D^*$  from  $\mathcal{O}_K[[T]]$ , need to invert  $T$  (as  $0 \notin D^*$ ) and  $\mathbb{R}_K$  (to allow bounds bigger than 1).

Recall from last time: ·) For each  $r \geq 0$  there is a valuation  $v_r : A \inf \rightarrow \mathbb{R}$

$$f = \sum_{n \geq 0} [x_n] \pi^n \mapsto \inf_n (v_F(x_n) + r n)$$

·) For  $f \in A \inf$ , can define  $\text{Newt}(f)$  via

$$\mathcal{L}(\text{Newt}(f))(r) = \begin{cases} v_r(f) & \text{if } r \geq 0 \\ -\infty & \text{if } r < 0 \end{cases}$$

These def<sup>n</sup>s extend straightforwardly to  $B^b$

Def<sup>n</sup> 2: (a) For  $r > 0$ , we define the  $r$ -Gauß norm on  $B^b$  as  $|f|_r := q^{-v_r(f)} = \sup_n q^{-rn} |x_n|_F$

(b) For  $I \subseteq (0, \infty)$  an interval (not nec. open nor closed), we let  $B_I =$  completion of  $B^b$  w.r.t. all  $|\cdot|_r$  with  $r \in I$ .

Rmk 3:  $\cdot$ ) Since  $v_r$  is a valuation, we have

$\|fg\|_r = \|f\|_r \cdot \|g\|_r$  for all  $f, g \in B^b$  and  $r > 0$ .

Hence  $B_I$  is a ring.

$\cdot$ ) We should think of  $B_I$  as holom. functions on  $|Y_I| = \{y \in Y \mid d(y, 0) \in I\}$ . When  $I = [a, b]$ , this is analogous to an annulus  $\{q^{-b} \leq |x| \leq q^{-a}\} \subseteq \mathbb{D}^x$ .

$\cdot$ ) If  $I_1 \subseteq I_2$  then  $\text{id}: B^b \rightarrow B^b$  extends by universal property of completion to  $B_{I_2} \rightarrow B_{I_1}$  ("restricting to a smaller annulus")

$\cdot$ ) For  $f \in B^b$ , have that  $r \mapsto v_r(f)$  is concave  $\Rightarrow$  if  $a \leq r \leq b$  then  $\|f\|_r \leq \sup\{\|f\|_a, \|f\|_b\}$  (If  $r = ta + (1-t)b$  then concave property implies  $\text{LHS} \leq \|f\|_a^t \cdot \|f\|_b^{1-t}$ )

Hence, if  $I = [a, b]$  then  $B_I$  is the completion of  $B^b$  w.r.t.  $\|\cdot\|_I := \sup(\|\cdot\|_a, \|\cdot\|_b)$ .

In particular,  $B_I$  is a Banach algebra.

$\cdot$ ) For gen<sup>l</sup>  $I$ , have  $B_I = \varprojlim_{[a,b] \subseteq I} B_{[a,b]}$  (this is in gen<sup>l</sup> not Banach but instead a Fréchet algebra)

A more explicit description of  $B_I$  in enough cases

Note: If  $I = [a, b]$  then  $\|fg\|_I \leq \|f\|_I \cdot \|g\|_I$  (but no longer = in gen<sup>l</sup>). By gen<sup>l</sup> theory, it follows

$\pi$ -adic completion

that  $B_I \cong R_I^0[\frac{1}{\pi}]$  where  $R_I^0 = \{f \in B^b \mid \|f\|_I \leq 1\}$   
(cf. Appendix at end of these notes)

In gen<sup>l</sup>, unclear how to describe  $R_I^0$ . But if we assume that  $a, b \in v_F(F^*)$  then we can.

Lemma 4: Let  $I = [a, b] \subseteq (0, \infty)$  and suppose  $\exists x_a, x_b \in \mathcal{O}_F$  s.t.  $v_F(x_a) = a$  and  $v_F(x_b) = b$ . Then  $R_I^0 = A_{\inf}[\frac{[x_b]}{\pi}, \frac{\pi}{[x_a]}]$  and so

$$B_I \cong A_{\inf}[\frac{[x_b]}{\pi}, \frac{\pi}{[x_a]}][\frac{1}{\pi}]$$

Proof: By def<sup>n</sup>,  $|f|_r \leq 1 \quad \forall f \in A_{\inf}, \forall r > 0$ . Moreover, one computes

$$\left| \frac{[x_b]}{\pi} \right|_b = \left| \frac{\pi}{[x_a]} \right|_a = 1$$

and

$$\left| \frac{[x_b]}{\pi} \right|_a = \left| \frac{\pi}{[x_a]} \right|_b = q^{a-b} < 1$$

$$\Rightarrow A_{\inf}[\frac{[x_b]}{\pi}, \frac{\pi}{[x_a]}] \subseteq R_I^0.$$

For reverse inclusion, pick  $f = \sum_{n \geq -\infty} [x_n] \pi^n \in R_I^0$ .

By def<sup>n</sup> of  $B^b$ ,  $\exists m \gg 0$  s.t.  $x_a^m \cdot x_n \in \mathcal{O}_F$  for all  $n$ , and

$$f = \sum_{n < m} [x_n] \pi^n + \underbrace{\left( \sum_{n \geq 0} [x_{n+m} \cdot x_a^m] \pi^n \right) \left( \frac{\pi}{[x_a]} \right)^m}_{\in A_{\inf}[\frac{[x_b]}{\pi}, \frac{\pi}{[x_a]}] \checkmark}$$

$\Rightarrow$  WLOG the Teichmüller expression for  $f$  is finite.

Then  $|f|_a, |f|_b \leq 1$  imply that for each  $n$  we have  $|x_n|_F \cdot q^{-na}, |x_n|_F \cdot q^{-nb} \leq 1$ .

Thus  $x_n \cdot x_a^n, x_n \cdot x_b^n \in \mathcal{O}_F \quad \forall n$ .

For  $n \leq 0$ , this implies

$$[x_n] \pi^n = [x_n \cdot x_b^n] \cdot \left( \frac{[x_b]}{\pi} \right)^{-n}$$

For  $n \geq 0$ , this implies

$$[x_n] \pi^n = [x_n \cdot x_a^n] \cdot \left( \frac{\pi}{[x_a]} \right)^n$$

Together these give  $f \in A_{\text{inf}} \left[ \frac{[x_b]}{\pi}, \frac{\pi}{[x_a]} \right]$  as required.  $\square$

Note:  $v_F(F^\times) \subseteq \mathbb{R}$  is dense so the above covers "most" cases of closed intervals.

### § 3. Newton polygons for $B_I$

Back to general  $I = [a, b]$ : all  $v_r$  with  $r \in I$  extend to  $B_I$  by continuity (e.g.  $v_r(f) = -\log_q |f|_r$ )

Recall: Let  $f \in B^b$ . Then the map

$$r \mapsto L(\text{Newt}(f))(r)$$

is piecewise linear, concave, with integer slopes (this held for  $A_{\text{inf}} \Rightarrow$  also for  $B^b$ )

Want: Extend this to  $B_I$  by taking limits

but it is not clear a priori that these properties will still hold. Issue is also that only have  $v_r$  for  $r \in I$  now.

Note: To see slopes are integers, note that  $\sup_n |x_n|_F < \infty \Rightarrow v_r(f) = \inf_n (v_F(x_n) + rn)$  is achieved for finitely many  $n$ 's, say  $n_0 < \dots < n_k$ . Then for  $\varepsilon > 0$  small enough one has  $v_{r+\varepsilon}(f) = v_r(f) + \varepsilon n_0$   
 $v_{r-\varepsilon}(f) = v_r(f) - \varepsilon n_k$

Essentially, this holds because  $\exists N \gg 0$  s.t.  $v_r(f) = \min_{n \leq N} (v_F(x_n) + rn)$

$$\text{and } v_{r \pm \varepsilon}(f) = \min_{n \leq N} (v_F(x_n) + rn \pm \varepsilon n)$$

Consequently, can define:

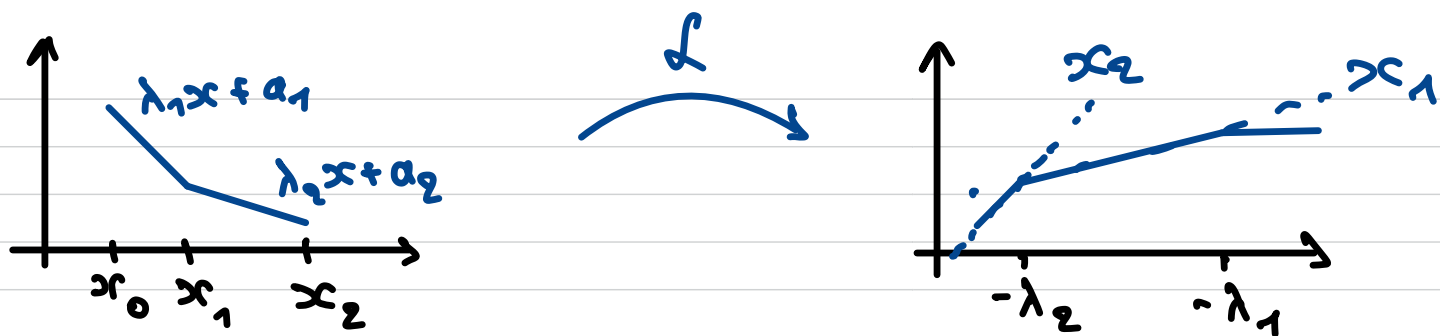
- )  $\partial_+ v_r(f) :=$  right derivative of  $L(\text{Newt}(f))$  at  $r$
- )  $\partial_- v_r(f) :=$  left derivative of  $L(\text{Newt}(f))$  at  $r$

One has in above notation  $\partial_- v_r(f) = n_k$  and

$\partial_+ v_r(f) = n_0$ , i.e. these are integers and  $\partial_- v_r(f) \geq \partial_+ v_r(f)$ .

Significance of this notion: If  $\lambda < 0$  is a slope of  $\text{Newt}(f)$  then  $\partial_- v_{-\lambda}(f) - \partial_+ v_{-\lambda}(f)$  is the multiplicity of  $\lambda$ . This is best seen by the following picture taken from Dvořák's talk.





i.e.  $\partial_- v_{-\lambda_2}(f) - \partial_+ v_{-\lambda_1}(f) = x_2 - x_1$  in picture  
 $=$  multiplicity of  $\lambda_2$

The key result we need is:

Prop<sup>n</sup> 5: Suppose  $(f_n)_{n \geq 0}$  is a Cauchy sequence in  $B^b$  w.r.t every  $|\cdot|_r$  with  $r \in I$ , such that  $f_n$  does not tend to 0 in  $B_I$ . Then  $\exists N \gg 0$  s.t. for every  $n \geq N$  and every  $r \in I$ ,

$$v_r(f_n) = v_r(f_N), \partial_+ v_r(f_n) = \partial_+ v_r(f_N) \text{ and } \partial_- v_r(f_n) = \partial_- v_r(f_N).$$

Proof: We first need a weaker statement

Claim: Suppose  $r \in I$  is s.t.  $|f_n|_r$  does not tend to 0. Then  $\exists N \gg 0$  s.t.  $v_r(f_n) = v_r(f_N)$ ,  $\partial_+ v_r(f_n) = \partial_+ v_r(f_N)$  and  $\partial_- v_r(f_n) = \partial_- v_r(f_N)$   $\forall n \geq N$ .

Proof of claim: As  $(f_n)$  is Cauchy and since  $|f_n|_r \not\rightarrow 0$ , the sequence  $v_r(f_n)$  converges to some  $y \in \mathbb{R}$  (with  $y = -\log_q(\lim_{n \rightarrow \infty} |f_n|_r)$ ).

Pick  $\varepsilon > 0$  and  $\tilde{N} \gg 0$  s.t.  $v_r(f_n) \in (y - \varepsilon, y + \varepsilon)$  for all  $n \geq \tilde{N}$ . As  $(f_n)$  is Cauchy, we may

now pick  $N \geq \tilde{N}$  s.t.  $v_r(f_n - f_N) > y + \varepsilon$  for all  $n \geq N$ . As  $v_r$  is a valuation we deduce that  $v_r(f_n) = v_r(f_N) \quad \forall n \geq N$ .

Since  $v_r(f_n - f_N) > v_r(f_N)$ , continuity of  $r \mapsto v_r(f)$  gives that for some  $\tilde{\varepsilon} > 0$  we have  $v_t(f_n - f_N) > v_t(f_N)$  for all  $t \in (r - \tilde{\varepsilon}, r + \tilde{\varepsilon})$ . This implies as above that  $v_t(f_n) = v_t(f_N)$  for all  $n \geq N$ . It therefore follows that

$$\partial_+ v_r(f_n) = \partial_+ v_r(f_N)$$

$$\partial_- v_r(f_n) = \partial_- v_r(f_N)$$

for all  $n \geq N$  as required. □

Not sure we need this

We may now prove the result. WLOG  $f_n \neq 0 \quad \forall n$  and by assumption  $\|f_n\|_{\mathbb{I}} \rightarrow 0$ , so that one of  $|f_n|_a, |f_n|_b$  does not tend to 0. We assume  $|f_n|_a \rightarrow 0$ , the other case being completely similar.

Claim  $\Rightarrow \exists M \gg 0$  s.t.  $v_a(f_n) = v_a(f_M) = s \in \mathbb{R}$   
 $\partial_+ v_a(f_n) = \partial_+ v_a(f_M) = k \in \mathbb{Z} \quad \forall n \geq M$ .

As  $r \mapsto v_r(f_n)$  is concave, it follows that  $v_r(f_n) \leq s + k(r - a)$  for all  $r \geq a$

In part.,  $r \mapsto v_r(f_n)$  is bounded above by  $s' := \max \{s, s + k(b-a)\}$  on  $[a, b]$ .  
 $(f_n)$  is Cauchy  $\Rightarrow \exists N > M$  s.t.  $\|f_n - f_N\|_I < q^{-s'}$ ,  
 i.e.  $v_r(f_n - f_N) > s' \quad \forall r \in I$ ,  
 for all  $n \geq N$ .

As  $v_r(f_n) \leq s'$  this implies that  $v_r(f_n) = v_r(f_N)$  for all  $n \geq N$ . The statement about derivatives follows exactly as in the proof of the Claim.  $\square$

Side comment: From assumptions, only know that  $|f_n|_r \not\rightarrow 0$  for some  $r \in I$ , but Prop<sup>n</sup> 5 implies that this holds for every  $r \in I$ .

Corollary 6: Let  $I = [a, b]$  and  $0 \neq f \in B_I$ .  
 The function  $I \rightarrow \mathbb{R}, r \mapsto v_r(f)$ , is well-defined, piecewise linear and concave with integer slopes.

Proof: We may write  $f = \lim_n f_n$  for some Cauchy sequence  $(f_n)$  in  $B^b$  for  $\|\cdot\|_I$ -topology. The result follows immediately from the corresponding statement in  $B^b$  and Prop<sup>n</sup> 5.  $\square$

Def<sup>n</sup> 7: .) Let  $I = [a, b]$  and  $0 \neq f \in B_I$ .

We define  $\text{Newt}_I^{\circ}(f)$  to be the convex function with

$$L(\text{Newt}_I^{\circ}(f))(r) = \begin{cases} v_r(f) & \text{if } r \in I \\ v_a(f) + (r-a)\partial_- v_a(f) & \text{if } 0 \leq r \leq a \\ v_b(f) + (r-b)\partial_+ v_b(f) & \text{if } r \geq b \\ -\infty & \text{if } r < 0 \end{cases}$$

and  $\text{Newt}_I(f)$  to be the subset of graph of  $\text{Newt}_I^{\circ}(f)$  with slopes in  $-I$  (and we set  $\text{Newt}_I(f) = \emptyset$  if no such slopes exist). Finally, we set  $\text{Newt}_I(0) = \emptyset$ .

.) For gen<sup>l</sup>  $I \subseteq (0, \infty)$  and  $f \in B_I$ , we define

$$\text{Newt}_I(f) = \bigcup_{[a,b] \subseteq I} \text{Newt}_{[a,b]}(f)$$

Rmk 8: Suppose  $I = [a, b]$  and  $f \in B_I$ .

.) The point of the above construction is that  $\text{Newt}_I(f) = \text{Newt}_I(g)$  for some  $g \in B^b$  (cf. Prop<sup>n</sup> 5). Hence  $\text{Newt}_I(f)$  has finitely many slopes and integral break points.

.) The formula for  $L(\text{Newt}_I^{\circ}(f))$  is designed to ensure that the multiplicities of the slopes  $-a$  and  $-b$  are correct.

.) As always, we have that

$$\text{Newt}_I^{\circ}(f) * \text{Newt}_I^{\circ}(g) = \text{Newt}_I^{\circ}(fg)$$

for all  $f, g \in B_I$ .

#### § 4. The rings B and P

Recall: we are aiming for a space whose points are  $|Y|/p^{\mathbb{Z}}$

→ first need to consider how Frobenius interacts with the Gauß norms.

For  $f \in B^b$  one has

$$v_r(\varphi(f)) = \sup_n (v_f(x_n^q) + rn)$$

$$= q v_{r/q}(f)$$

$$\text{i.e. } |\varphi(f)|_r = (|f|_{r/q})^q$$

Hence, if  $I = [a, b]$  then  $\varphi$  induces a continuous isomorphism  $\varphi: B_I \xrightarrow{\cong} B_{qI}$ .

Def<sup>n</sup> 9: (a) Let  $B := B_{(0, \infty)} = \varprojlim_{I=[a, b] \subseteq (0, \infty)} B_I$

Taking limit of  $\varphi: B_I \rightarrow B_{qI}$  over all  $I$ , one gets a continuous automorphism  $\varphi: B \xrightarrow{\cong} B$ .

(b) We let  $P = \bigoplus_{d \in \mathbb{Z}} B^{\varphi = \pi^d}$ , a  $\mathbb{Z}$ -graded ring, and put  $X = \text{Proj}(P)$  (the schematic FF-curve)

We first note that taking these completions of  $B^b$  is really required in order to get an interesting  $P$ :



Lemma 10: We have  $(B^b)^{\varphi=\pi^d} = \begin{cases} E & \text{if } d=0 \\ 0 & \text{if } d \neq 0 \end{cases}$

Proof: ① Case  $d=0$ : Let  $f = \sum_{n=-\infty}^{\infty} [x_n] \pi^n \in B^{\varphi=1}$ .

$$\text{Then } \sum [x_n^q] \pi^n = \varphi(f) = f = \sum [x_n] \pi^n$$

$$\Leftrightarrow x_n^q = x_n \quad \forall n \Leftrightarrow x_n \in \mathbb{F}_q \quad \forall n$$

$$\Leftrightarrow f \in E \quad \checkmark$$

② Case  $d \neq 0$ : Assume  $f \in (B^b)^{\varphi=\pi^d}$  and let  $x \in \mathbb{R}$ .

$$\begin{aligned} \text{Then } q \text{Newt}(f)(x) &= \text{Newt}(\varphi(f))(x) \\ &= \text{Newt}(\pi^d f)(x) \\ &= \text{Newt}(f)(x-d) \end{aligned}$$

(Recall that  $\text{Newt}(f)$  is the convex function lying below  $\{(n, v_F(x_n))\}_{n \in \mathbb{Z}}$ )

$$\Rightarrow q^n \text{Newt}(f) = \text{Newt}(f)(x - nd) \quad \forall n \geq 1 \quad (*)$$

•) If  $d > 0$  then for  $n \gg 0$  one has  $\text{Newt}(f)(x - nd) = \infty$  and  $(*)$  now implies  $\text{Newt}(f)(x) = \infty$ . As  $x$  is arbitrary, we deduce  $f = 0$ .

•) If  $d < 0$ , we take  $x_0 < 0$  s.t.  
 $\text{Newt}(f)(x_0) \geq \text{Newt}(f)(x)$

As  $\text{Newt}(f)$  is decreasing, for  $n \gg 0$  we get  
 $\text{Newt}(f)(x) \geq \text{Newt}(f)(x_0 - nd)$   
 $= q^n \text{Newt}(f)(x_0) \quad \text{by } (*)$   
 $\geq q^n \text{Newt}(f)(x)$

We deduce that  $\text{Newt}(f) \equiv \infty$  again and so that  $f = 0$

We finish by describing some elts of  $\mathcal{P}$  and  $\mathcal{B}$ .  $\square$

Lemma 11: (i) Suppose that  $(x_n)_{n \in \mathbb{Z}}$  is a sequence in  $F$  s.t.  $\lim_{|n| \rightarrow \infty} (v_F(x_n) + nr) = \infty \quad \forall r > 0$ . Then  $f = \sum_{n \in \mathbb{Z}} [x_n] \pi^n$  converges in  $\mathcal{B}$ .

(ii) There is a well-defined map  $\eta_F \rightarrow (\mathcal{B}^+)^\varphi = \pi$ ,  $a \mapsto f_a := \sum_{n \in \mathbb{Z}} [a^{q^{-n}}] \pi^n$

Proof: (i) The cond<sup>n</sup>s ensure that  $|[x_n] \pi^n|_r \rightarrow 0$  as  $n \rightarrow \infty$  for all  $r > 0$ , which is all we need for the partial sums to be Cauchy w.r.t all  $|\cdot|_r$ .

(ii) We have  $v_F(a^{q^{-n}}) + nr = q^{-n} v_F(a) + nr \rightarrow \infty$  as  $|n| \rightarrow \infty$ , so that  $f_a$  is well-def<sup>d</sup> by (i).

$$\begin{aligned} \text{Further, } \varphi(f_a) &= \sum_n [a^{q^{n+1}}] \pi^n \\ &= \pi \sum_n [a^{q^{(n+1)}}] \pi^{n-1} \\ &= \pi f_a \text{ as required.} \end{aligned}$$

Rmk 12: (a) It is not known whether:  $\square$

- ) all elements of  $\mathcal{B}$  can be written as in (i);
- ) elts of  $\mathcal{B}$  as in (i) have a unique such expression; or
- ) elts of  $\mathcal{B}$  as in (i) are stable under  $+$  or  $\cdot$ .

(b) We can construct more generally elts of  $B^{q=\pi^d}$  for  $d > 0$ . The idea is to look for an expression  $f = \sum_{n \in \mathbb{Z}} [x_n] \pi^n$  as in (i). Since  $\varphi(f) = \sum_n [x_n^q] \pi^n$ , for this to be in  $B^{q=\pi^d}$  it suffices (but maybe is not necessary) to have  $x_{n-d} = x_n^q$  (+) for every  $n \in \mathbb{Z}$ . Note that for  $d < 0$  we run into a problem as for fixed  $n$  the sequence  $x_{n+d} = x_n^{1/q}, x_{n+2d} = x_n^{1/q^2}, \dots$  could not tend to zero and so  $\sum [x_n] \pi^n$  would not converge in  $B$  (a shadow of the fact to come that  $B^{q=\pi^d} = 0 \quad \forall d < 0$ ).

But for  $d > 0$  then any tuple  $(x_0, \dots, x_{d-1}) \in m_F^d$  gives a well-defined element of  $B^{q=\pi^d}$  as above via (+).

Rmk 13: It was asked during the talk why the def<sup>n</sup> of  $P$ , and so of  $X$ , does not depend on the choice of uniformiser  $\pi$ . In their book, Fargues & Fontaine explain the following:

•) Suppose that  $\pi'$  is another uniformiser of  $\mathcal{O}_E$ .

By Hilbert 90,  $\exists u \in W_{\mathcal{O}_E}(\mathbb{F}_q)^* \subseteq A_{\text{inf}}^* \text{ s.t.}$

$$\frac{\varphi(u)}{u} = \frac{\pi'}{\pi}.$$

•) There is an iso  $B^{\varphi=\pi^d} \rightarrow B^{\varphi=\pi^d}$ ,  $f \mapsto u^d f$

Taking direct sum over all these defines an iso of graded rings  $P_\pi \rightarrow P_\pi$ ,  
 $\Rightarrow X = \text{Broj}(P)$  doesn't depend on choice of  $\pi$ .

Rmk 14: It was pointed out after the talk by Andreas that a more naive 1-dim<sup>d</sup> heuristic picture is to view a fund. domain for  $\varphi$ -action on  $|Y|$  as a small closed interval, and identifying the boundary gives a circle, i.e. a  $P^1$ .

## § "Appendix"

We just quickly explain the following fact, which we implicitly applied to  $(B^t, \|\cdot\|_t)$  in § 2.

Lemma: Suppose  $A$  is a ring equipped with a norm  $\|\cdot\|$  satisfying  $\|ab\| \leq \|a\| \cdot \|b\|$ . Assume that  $\exists \pi \in A^\times$  s.t.  $0 < \|\pi\| < 1$  and  $\|\pi^{-n}\| \rightarrow \infty$  as  $n \rightarrow \infty$ . Then a sequence  $(a_n)_{n \geq 0}$  in  $A^\circ = \{a \in A \mid \|a\| \leq 1\}$  is Cauchy w.r.t.  $\|\cdot\|$  if and only if it is Cauchy for the  $\pi$ -adic topology. Consequently, the completion  $\hat{A}$  w.r.t.  $\|\cdot\|$  is canonically isomorphic to  $\hat{A}^\circ{}^\pi[\frac{1}{\pi}]$ , where  $\hat{A}^\circ{}^\pi := \pi$ -adic completion.

Proof: Since  $\|\pi\| < 1$  and  $\|ab\| \leq \|a\| \cdot \|b\|$ , it follows that  $\|\pi^n\| \rightarrow 0$  as  $n \rightarrow \infty$  and thus that if a sequence  $(a_n)_{n \geq 0}$  is Cauchy w.r.t  $\pi$ -adic topology then it is Cauchy w.r.t  $\|\cdot\|$ . Conversely, choose  $\varepsilon > 0$  and  $N \geq 0$  s.t.  $\|f_n - f_N\| \leq \varepsilon$  for all  $n \geq N$ . Furthermore, using that  $\|\pi^{-M}\| \rightarrow \infty$ , choose  $M_\varepsilon$  maximal s.t.  $\|\pi^{-M_\varepsilon}\| \leq \frac{1}{\varepsilon}$ . Then one has

$$\|(f_n - f_N) \pi^{-M_\varepsilon}\| \leq \|f_n - f_N\| \cdot \|\pi^{-M_\varepsilon}\| \leq \varepsilon \cdot \frac{1}{\varepsilon} = 1$$

$$\Rightarrow (f_n - f_N) \pi^{-M_\varepsilon} \in A^\circ \text{ and hence } f_n - f_N \in \pi^{M_\varepsilon} A^\circ.$$

Noting that  $M_\varepsilon \rightarrow \infty$  as  $\varepsilon \rightarrow 0$ , this shows that  $(f_n)$  is  $\pi$ -adically Cauchy.  $\square$